

5 **APPARATUS AND METHOD FOR THE TRANSPARENT**
 UPGRADING OF TECHNOLOGY AND APPLICATIONS IN
 DIGITAL RADIO SYSTEMS USING PROGRAMMABLE
 TRANSMITTERS AND RECEIVERS

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This application claims priority under 35 USC § 119(e)(1) of provisional application number 60/188,696, filed March 13, 2000, and provisional application number 60/255,271 filed December 13, 2000.

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RELATED APPLICATIONS

20 U.S. provisional application number 60/253,523 (Attorney Docket number TI-31226): APPARATUS AND METHOD FOR RADIO PROGRAM GUIDE CAPABILITY IN A DIGITAL RADIO SYSTEM, invented by Trudy D. Stetzler, Naresh Coppisetti, and Burc A. Simsek, filed on November 28, 2000 and assigned to the assignee of the present application, is a related application; and,

25 U.S. provisional application number 60/188,696 (Attorney Docket number TI-30649): DIGITAL RADIO, invented by Trudy D. Stetzler, Burc A. Simsek, Robert G. DeMoor, Naresh Coppisetti, John H. Gardner, Gene A. Frantz, Carol Ann Levasseur, Aamer Salahuddin, Keith G. Gutierrez, Philip S. Stetson, and Douglas S. Rasor, filed on March 13, 2000 and assigned to the assignee of the present application, is a related application.

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BACKGROUND OF THE INVENTION

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1. Field of the Invention

 This invention relates generally to communication systems and, more particularly, to systems having digital radio transmitters and receivers. When both the radio transmitter and
40 receiver are programmable, the communication systems become much more flexible.

5 2. Background of the Invention

With the movement of radio broadcast technology toward digital implementation, present efforts are directed to providing consumers with low-cost, high performance receivers that are able to decode the complex digital signals that will be broadcast by the radio stations.

10 Transmitters are burdened with the task of conveying information to the receivers. Once designed and in operation, the transmitters become a static object whose sole function is to convey the digital media to the radio receivers. Because the radio receiver technology has to be designed to be compatible with the radio transmitter, a severe constraint is placed on the receiver design when an upgrade of the entire system is attempted.

15 Thus, the design of a radio receiver is strongly linked to the architecture of the transmitter because a common coding and modulation scheme is required by both system components. Currently, because of cost and power considerations, a custom ASIC (Application Specific Integrated Circuit) component is frequently used to implement
20 demodulation and decoding algorithms. The ASIC component has all of the limitations inherent in a hardwired component, such as lack of the ability to re-use in the event of even relatively minor changes to the circuit design.

25 However, data processing components in general have become much more affordable. The general purpose microprocessors (CPUs), the specialized digital signal processors (DSPs), and memory components have participated in the reduction in cost. Consequently, functionality of great complexity can now be considered for radio systems while remaining relatively affordable.

30 A need has therefore been felt for apparatus and an associated method having the feature that modifications can be made to a digital communication system without requiring changes in the apparatus implementation. It would be a further feature of the apparatus and associated method that the digital transmitter unit of the communication system can be changed by changes to the transmitter unit programming. It would be yet another feature of
35 the apparatus and associated method that the receiver unit of the communication unit can be changed by changes to the receiver unit programming. It would be yet another feature of the apparatus and associated method that communication system upgrades can be performed by

5 changes to the programming of the digital transmitter unit and/or the digital receiver unit. It would be a still further feature of the present invention that the updates to the receiver unit can be provided by the transmitted signal stream.

Summary of the Invention

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The aforementioned and other features can be accomplished, according to the present invention, by providing the transmitter unit and the receiver unit of the digital communication system with programmable processors. The programmable processors permit changes to be made to the transmission of signals from the transmitter unit. For example, the transmitted
15 signals can be encoded in a manner to emphasize selected characteristics. The programmable processor in the receiver unit can then be programmed to interpret correctly the newly reformatted signals from the transmitter unit. The transmitter unit can reprogram the receiver unit by transmitting appropriate signals to the receiver unit. The receiver unit includes apparatus for identifying the transmitted signals as reprogramming signals. Alternatively, the
20 receiver unit can be reprogrammed as part of a service procedure. In either operation, related changes in components are typically not required.

Brief Description of the Drawings

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Figure 1 is a block diagram of a receiver unit for use in the digital radio system according to the present invention.

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Figure 2 is a block diagram of alternative radio receiver unit for use in a digital radio system according to the present invention.

Figure 3 is a block diagram of the transmitter unit in a digital radio system according to the present invention.

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Figure 4 is a flow diagram of one method for up-dating a radio receiver unit according to one embodiment of the invention.

5 Figure 5A is a flow diagram of the activity of a receiver unit is performing a system update using a new decoder algorithm, while Fig. 5B is a flow diagram of the activity in the transmitter for performing the decode algorithm update.

10 Figure 6 is flow diagram of a procedure for updating a decoding algorithm after the initial update period has ended according to one embodiment of the present invention.

Figure 7 is flow diagram illustrating how receiver updates can be implemented using a warranty card procedure according to the present invention.

15 Figure 8 is a flow diagram illustrating the update of a control channel according to the present invention.

Figure 9 is flow diagram illustrating a process for updating a radio receiver according to the present invention.

20 **Description of the Preferred Embodiments**

1 Detailed Description of the Figures

25 Referring to Fig. 1, a radio receiver unit for use in a digital radio system **1**, according to the present invention, is shown. The radio system **1** includes an antenna **11** for receiving transmitted broadcast signals. The signals from the antenna **11** are applied to a down converter (or tuner) unit **12**. The tuner unit **12** receives an output signal from a local oscillator **12A**. The output signals from the tuner unit **12** are applied to filter **13**. (This filter
30 **13** is used for channel selection in narrowband systems. For broadband systems, this filter **13** would be implemented with an anti-aliasing filter. In the broadband systems, the channel selection, if performed at all, would be performed after the analog-to-digital conversion.) The output signal of the filter is applied analog-to-digital (A/D) converter **14**. The output signal from the A/D converter **14** is applied to programmable processor **10**. The
35 programmable processor **10** receives signals from an input device **17** and storage unit **15** and applies signals to storage unit **15** and output device **16**. The programmable processor **10** is optionally coupled to a return path unit **18**. The storage unit **15** can be implemented with

compact Flash memory, a disk drive, , random access memory (RAM), dynamic random access memory (DRAM), etc. The output device **16** can be implemented with a speaker, a display unit such as a liquid crystal display, etc. The input device **17** can be implemented with a keypad, a screen, smart card, voice-activated unit, etc. The optional return path unit **18** can be implemented with a Bluetooth unit, a cellphone, a satellite communication unit, etc.

Referring once again to Fig. 1, the signals applied to programmable processor **10** are applied to a demodulator unit **101**. The demodulator unit can be application specific integrated circuit (ASIC) or hardware components responsive to a software algorithm. The output signal from the demodulator unit **101** is applied to decode unit **102**. The decode unit **102** decodes the signals using the technique appropriate to the encoding algorithm (MP3, AAC, MPEG4, etc.). The output signals from the decode unit are applied to the emulation unit **103**. The emulation unit **103** formats the signals from the decode unit **102** in a manner appropriate to the receiver **1**, i.e., radio, cellphone, web browser, digital radio audio player, recorder, etc. In addition, the programmable processor **10** includes various features that are included in device ID unit **104**. These features are security, record protection, authorization, identification, etc.

Referring to Fig. 2, a block diagram of an alternative implementation of a radio receiver unit **2** according to the present invention is shown. The radio receiver unit **2** includes components that are similar to the components in Fig. 1. An antenna **11** provides a signal to a down converter (tuner) unit **12**. Tuner unit **12** also has an output signal from a local oscillator **12A** applied thereto. The output signal from tuner unit **12** is applied through filter **13** and through A/D converter **14** to programmable processor **20**. Programmable processor **20** includes a demodulator unit **101** to which the signal from A/D converter **14** is applied, a decode unit **202** and an emulation unit **103**. The programmable processor **20** also includes a device ID unit **104**. Receiving signal from the programmable processor are storage unit **15** and output device **16**. Applying signals to the processor are input device **17** and the storage unit **15**. The programmable processor **20** can be coupled to an optional return path device **18**. In addition, the antenna **11** applies signals to down converter (tuner) unit **22**. The tuner **22** receives signals from a scanning local oscillator **22A**. The scanning local oscillator **22A** operates under the control of scanning control unit **203** that is part of the

5 programmable processor **20**. The output signal is applied to filter **23** that, as with filter **13**, is
a channel selection filter for narrowband systems and is an anti-aliasing filter for broadband
systems, the channel selection be performed digitally, if at all, by the programmable
processor **20**. The output signal of the filter **23** is applied to A/D converter **24**, and the output
signal of the A/D converter is applied to demodulator unit **201**. The output signal of the
10 demodulator unit **201** is applied to decode unit **202**.

Referring to Fig. 3, a block diagram of the transmitter unit **30** for use in the digital
radio system of the present invention is shown. An analog input signal to be transmitted to a
radio receiver unit, e.g. **10** or **20**, is applied to digital-to-analog (D/A) converter **31** in
15 transmitter unit **30**. The D/A converter **31** digitizes the analog input signal, also known as an
input file. The analog input signal/input file can be speech, music, pictures, etc. The
digitized input signal from D/A converter **31** is applied to source encoder **32**. The source
encoder **32** encodes the input file in a format (AAC, MP3, JPEG, etc.) appropriate to the
subject matter (video, music, data, etc.) being transmitted. The output signals from the
20 source encoder **32** is applied to the forward-error-correcting (FEC) and interleaving unit **33**.
The output signal of the FEC and interleaving unit **33** is applied to the orthogonal frequency
division multiplexing (OFDM) unit **34**. The output signal of the OFDM unit **34** is applied to
the up-converter unit **35** and the output signal of the up-converter unit **35** is, in turn, applied
to the power amplifier **36**. The output signal of the power amplifier **36** and, consequently, of
25 the transmitter unit **30** is applied to antenna **37** for transmission to receiver units (**10** and **20**).
The transmitter unit **30** can, optionally, also have a time diversity path. The output signal
from the D/A converter **31** is applied to time diversity delay unit **381** as well as source
encoder **32**. (The time diversity delay unit and associated apparatus provide a delayed signal
that permits a receiver unit to recover from a signal drop-out such as might occur passing
30 through a tunnel.) The output signal from the diversity delay unit **381** is applied to the time
diversity source encoder unit **382**. The time diversity source encoder **382** performs the same
function as the source encoder unit **32** described above. The output signal of the time
diversity source encoder unit **382** is applied to the delay-path FEC and interleaving unit **383**.
The output signal of the delay-path FEC and interleaving unit **383** is applied, along with the
35 output signal from the FEC and interleaving unit **33** to the OFDM unit **34**.

Referring to Fig. 4, a method of updating a digital radio receiver unit according to one embodiment of the present invention is shown. In step **401**, the digital radio receiver receives a continuous, encoded radio broadcast transmission. The broadcast transmission may be encoded speech, music, data video, etc. In step **402**, a determination is made whether the decoder algorithm is with the transmitted broadcast transmission. If the decoder algorithm is not with the broadcast transmission, a determination is made in step **403** whether the receiver has the decoder algorithm available. When the decoder algorithm is not available, the alternative update method is employed in step **404** such as is described in Fig. 6. When the decoder algorithm is available in step **403**, then the broadcast transmission is decoded and the response, appropriate for the particular type of receiver unit, is performed. When, in step **402**, the decoder algorithm has been transmitted with the broadcast transmission, then in step **405**, the decoder algorithm is separated from the broadcast transmission. In step **406**, a determination is made whether the receiver needs the decoder algorithm update. When, in step **406**, a determination is made that the receiver unit does not need the update, then the broadcast transmission is decoded and performed in accordance with the receiver unit in step **404**. When, in step **406**, a determination is made that the decode algorithm is not available, i.e., the receiver unit needs an update, then, in step **407**, the decoder algorithm is installed. Then, after installation of the decode algorithm, the broadcast transmission is decoded and performed according to the type of the receiver unit.

Referring to Fig. 5A, the process for updating the receiver unit according to the present invention is shown. The receiver receives the updated decode algorithm along with timer information as to when the update is to become effective in step **501**. In step **502**, when each appropriate transmission is received, a determination is made in step **502** whether the time for the updated decoder algorithm to be effective has been reached. When the time for the updated decoder algorithm to be effective has not been reached, then the old decoder algorithm is used to decode the broadcast transmission in step **503**. In step **504**, the decoded broadcast transmission is performed as indicated by the function of the receiver unit. When, in step **502**, the time for the update of the decoder algorithm has been reached, then in step **505**, the updated decoder algorithm is installed and the newly installed decoder algorithm is used to decode a broadcast transmission. In step **506**, the broadcast transmission is performed as indicated by the type of the receiver unit.

Referring to Fig. 5B, the process for updating the digital radio system by the transmitter unit is shown. In step **551**, a decision is made to broadcast an encoded broadcast transmission. In step **552**, a determination is made whether the time for conversion to the new decoder algorithm has been reached. When the time for the conversion has not been reached, then the broadcast transmission is encoded with the old encoder algorithm in step **553**. The encoded broadcast transmission is then broadcast in step **554**. When, in step **552**, the time for conversion to the new encoding technique is identified, then the new encoder is enabled and the broadcast transmission is encoded using the new encoder algorithm in step **555**. In step **556**, the broadcast transmission encoded with by the updated encoder algorithm is broadcast.

Referring to Fig. 6, the process for updating a decoding algorithm after the initial upgrade period has ended is illustrated. In step **601**, the receiver unit receives an encoded broadcast transmission. In step **602**, a determination is made by the programmable processor whether the current decoder algorithm is available. When the current decoder algorithm is available in step **602**, the receiver unit decodes the broadcast transmission and performs the decoded broadcast transmission in a manner appropriate for the receiver unit. When, in step **602**, the current decoder algorithm is not available, then the programmable processor informs the user that an update of the decoder algorithm is needed. This informing can be done for example via the output device. In step **605**, the user makes a decision as to whether to upgrade or not to upgrade the decoder algorithm. When the user chooses not to upgrade the decoder algorithm, in step **606**, the broadcast transmission is not available to him. When the user wants to upgrade the decoder algorithm, in step **607**, the user selects the method for the upgrade to be implemented. In step **608**, the user selects to upgrade immediately. This decision is communicated to the transmitter operator by the return path. The transmitter then broadcasts the decoder algorithm that is detected by the receiver unit and installed in the programmable processor of the receiver unit. In step **609**, the programmable processor determines whether installation of the updated decoder algorithm has been successful. When the installation of the decoder algorithm has not been successful, then, in step **610**, the user is notified and the upgrade process is restarted by returning to step **607** to select an upgrade method. When, in step **609**, the upgrade process has been successfully installed, the user is notified of the successful update of the decoder algorithm in step **611**. In step **612**, the broadcast transmission is performed in a manner consistent with the receiver architecture.

5 completion of step **711**, the process continues in step **707**. When in step **703**, the warranty registration card is not sent in, then in step **712**, the receiver unit user obtains manual updates and uses the manual updates to update the system. The process then continues to step **706**.

Referring to Fig. 8, an update of the underlying system parameters, also referred to as
10 the transmission format (OFDM spacing, FEC method, interleaving, etc.) is illustrated. In step **801**, an encoded broadcast transmission is received by the receiver unit. In step **802**, a determination is made whether the current FEC and the interleaving are correct. When the FEC and the interleaving are correct in step **802**, then, in step **803**, the broadcast transmission is decoded and the encoded source material it contains is decoded and performed in a manner
15 appropriate to the type of receiver unit. When, in step **802**, the FEC and the interleaving are not correct, then, in step **804**, a determination is made whether the correct FEC and interleaving procedures are being transmitted in a separate control channel. When the correct FEC and interleaving are not being transmitted in a separate broadcast channel, then, in step **805**, an error message is displayed and an alternate update procedure is employed to
20 provide the correct decoding procedure. When, in step **804**, the correct FEC and interleaving decoding procedures are being broadcast in a separate control channel, then in step **806**, the new decoder procedure from the control channel is installed. After installation of the new decoder procedure, the process returns to step **803**.

25 Referring to Fig. 9, a process for updating a radio receiver system according to the present invention is shown. In step **901**, the receiver unit is tuned to a transmission. In step **902**, a determination is made whether the mode of the transmission is recognized by the radio receiver. When the mode of transmission is not recognized, a determination is made in step **903** if a dual mode of transmission is present for the transmitted signal. When a dual mode is
30 not present, then, in step **904**, the receiver prompts the user that action is required on behalf of the receiver unit. A determination is made in step **905** whether a return path to the transmitting unit is available. When a return path is not available, then in step **906** a manual upgrade is obtained. The manual upgrade is then installed in step **907**. In step **908**, the broadcast transmission is decoded and performed by the receiver unit in step **908**. When a
35 dual transmission mode is available in step **903** or when a return path is available to the receiver unit in step **905**, then the automatic update mode is entered in step **909**. When the transmission mode is recognized in step **902** or after the automatic update mode is entered in

5 step 909, then in step 910, a determination is made whether the update algorithm is available. When the update algorithm is available in step 910, then in step 911 a determination is made whether the time for the system upgrade has been reached. When the time for the upgrade has been reached, then the upgrade algorithm is installed in step 907 and the broadcast transmission is decoded and performed in step 908. When, in step 910, the algorithm update
10 is not available, or in step 911 when the time for the upgrade has not been reached, then, in step 912 the decision to use the current (non-updated) system. In step 913 the broadcast transmission is decoded and performed by the receiver unit. After performance of the broadcast transmission, the process is returned to step 911, but only after the timer has started for the system update.

15 2. Operation of the Preferred Embodiments

The main constraint involved in the design of next generation receivers is backward compatibility to existing transmitters and transmission formats. The static nature of existing
20 transmitter designs limits the amount of upgrading that can be done to the receiver design since it has to adhere with a system specification that is highly dependent on the transmitter. The use of a programmable transmitter will allow drastic changes to the overall architecture of the broadcast system. After upgrading their transmitters, the broadcaster can then either automatically upgrade the programmable receivers as the changes are made or allow the
25 upgrade to be offered as a service feature. This procedure will eliminate or reduce the cost of upgrading the receiver to users and broadcasters since any upgrade that is programmable in nature will be easily implemented in both the receiver and the transmitter. The concept of having 'programmable' transmitters and receivers, will allow the user and the designers access to not only upgrading the applications that are run on the radios, but also access to the
30 underlying technology of the of digital radio which is the transport medium.

Referring again to Fig. 1, a programmable digital receiver capable of advantageously using the present invention is shown. The tuner portion of the receiver unit is typically set for a specific frequency band (as regulated by the government/FCC), but may contain
35 configurable filters to adjust the bands of operation. The (RF) broadcast signal, once mixed to a proper frequency is digitized by means of an A/D converter. For single or double conversion receiver architectures, channel selection is typically performed by narrow band

5 filters that precede the A/D converter. These filters are typically implemented using analog components that can be controlled digitally. Wide-band architectures digitize the entire frequency spectrum of interest. The receiver units can decode the entire spectrum (with available processing power) or choose a channel (after the A/D converter) by means of digital filters. The digitally encoded data is then decoded by an appropriate decoder algorithm. The
10 programmable processor can emulate other devices when instructed by the received data (for example, by running a Java script). The receiver unit, in addition to the processor RF input devices and the programmable processor, contains an input device that allows the user to set upgrade preferences or manually install upgrade algorithms, an output device, a storage unit, and optionally a return path.

15 Referring again to Fig. 2, an alternative embodiment of the receiver unit is shown. In this embodiment, a first receiver train applies a signal train from a currently selected broadcast frequency to the programmable processor. A second receiver unit can scan other channels for upgrades (as well as other types of broadcast material) while still providing the
20 original service to the listener.

Referring to Fig. 3, a block diagram of a programmable transmitter is shown. The portions of the digital radio system likely to be changed by the broadcast operator are the source encoding method, and the forward error correction (FEC). The fundamental OFDM
25 spacing can also be changed. The up-conversion (to the final RF broadcast frequency) is typically set by government regulations and would not be changed in real-time by the broadcast operator (every broadcast station would have a different setting of course). Also, while the government sets maximum power levels, the broadcaster may want to transmit at a lower power setting, so the power amplifier can have some limited programmability.

30 The upgrade to the system can involve two cases. The first type of upgrade is a service upgrade that can be provided by the broadcast operator new services are incorporated in the digital information that it transmits. This upgrade can occur several ways. First, as shown in Fig. 4, as new services are incorporated into the spectrum of the service providers
35 (broadcaster operators), the application or feature update that is required to use the new service can be transmitted along with the service. In the event the user already has the most recent version of the application installed on their radio, no upgrade is required and the

5 application and service is run automatically. In the second instance illustrated in Fig. 5, the service providers (broadcasters) could broadcast the new application for a time period prior to the release of a new service. For example, the service providers would announce a new product and have the upgrade algorithm available for 30 days prior to the service. The upgrade algorithm can be transmitted with a time stamp so that it is installed when the new
10 service is available (or it could install immediately and be saved in local memory in the receiver unit).

In the event the receiver unit was not turned on to receive the update algorithm, a program in the programmable processor detects that an unplayable service is being applied to
15 the programmable processor, and processor provides the user with a message for the user to specify whether the user want to upgrade the receiver. This process is shown in Figure 6.

If the receiver unit is equipped with a return path (via cell-phone, satellite, etc.) then the receiver unit can automatically register its serial number with the service provider (or the
20 manufacturer) and can provide a list of its current decoder algorithms currently installed at the time of registration. The service provider would then know the currently installed decoder algorithms and can determine if an update is required by the receiver unit. This process is shown in Fig. 7.

25 As will be clear, the broadcast operator/service provider can transmit in dual modes, i.e., the current mode of broadcast file transmission and the updated mode of broadcast file transmission, for a period of time until all receivers were updated. Note that receiver units could be equipped with an "upgrade mode", wherein the receiver units scan for updates transmitted by the service provider when the receiver unit is not in use by the user. When a
30 warranty card is provided to the manufacturer, all broadcaster operator/service providers in your area could be notified of the receiver unit configuration. The broadcast operators/service providers are able to identify required receiver unit upgrades. The broadcast operators/service providers can then notify receiver unit user of needed upgrades. Similarly, the receiver unit manufacturer can be aware of the installed updates required in
35 local broadcast area, and can forward updates to the receiver unit user. This procedure is illustrated in Fig. 7. (The broadcast operators/service providers can upgrade the receivers units automatically or can offer the upgrades as a service to the customer.)

Referring to Fig. 8, the procedure for upgrading the transmitter unit of the digital radio system is shown. Advances in algorithms, data encoding methods, error correction techniques, and other technological advances will improve and enable system enhancements such as better multi-path performance, higher data rates, lower noise, better audio quality, etc. These changes can be broadcast to the receiver units that are already in service and incorporated to the ones that are still in the process of being designed. The fundamental problem with a system upgrade at the transmitter unit is that the demodulation process is changed. The receiver cannot receive an update if the station is broadcasting in the new format. However the techniques discussed for the receiver unit upgrades can also be incorporated herein. An additional technique that may be incorporated is the use of a dual mode transmission. In dual mode transmission, one station can broadcast to signal streams that can be separated by the receiver unit. With this capability, the current receivers can tune to a lower data rate transmission (i.e., a wider OFDM spacing than in the new system) which is still in the format that they can decode and receive the update that is required. The appropriate transmission standard could be downloaded to the programmable receiver unit at the start of delivery of the program or service (so update FEC codes and interleaving would be similar to the current flow charts). Alternatively, a separate control channel could be used to update the FEC and interleaving as shown in Figure 8. This method could also be used to download encoder updates as well.

Referring once again to Fig. 9, an update flowchart for a receiver out in the field is shown. The manual update mode shown in step 906 includes the user upgrading the system with software received by the internet, mail, etc. For this upgrade to be possible, the receiver unit manufacturers have to provide receiver units with the appropriate upgrade capability. This capability can be provided by a USB port, a CD player unit, compact flash, or other media device in the receiver unit itself. All transmitters need not be upgraded at the same time or with the same enhancements, or for that matter, not all transmitters need to be originally configured the same. One broadcast operator/service provider can chose a method of data encoding and transmission which enhances the data rate capabilities of the system, while another broadcast operator/service provider can emphasis the audio quality at the expense of data rate transmissions. When all transmitter units in an area are switching to a

5 new format, the upgrades could be scheduled for a specific time period or transmitted continuously on a dedicated upgrade frequency to upgrade all receivers in the area. Manual upgrades could be used to supplement this upgrade process as well.

10 While the invention has been described with respect to the embodiments set forth above, the invention is not necessarily limited to these embodiments. Accordingly, other embodiments, variations, and improvements not described herein are not necessarily excluded from the scope of the invention, the scope of the invention being defined by the following claims.